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Docket No. 62574-A/JPW/GJG

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Ulrich Laemmli and Samuel Janssen

Serial No.

09/903,359

Filed

July 11, 2001

For

LINKED, SEQUENCE-SPECIFIC

DNA-BINDING

MOLECULES

1185 Avenue of the Americas New York, New York 10036

April 5, 2002

U.S. Patent and Trademark Office Box Sequence, P.O. Box 2327 Arlington, VA 22202

Sir:

COMMUNICATION IN RESPONSE TO SEPTEMBER 7, 2001 NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED AND PETITION FOR FIVE-MONTH EXTENSION OF TIME

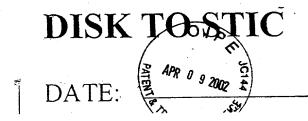
This Communication is submitted in response to a September 7, 2001 Notice to File Missing Parts of Application - Filing Date Granted issued by the United States Patent and Trademark Office in connection with the above-identified application. A response to the September 7, 2001 Notice was due November 7, 2001. Applicants hereby request a five-month extension of time from November 7, 2001 to April 7, 2002. The required fee for a five-month extension of time is NINE HUNDRED AND EIGHTY DOLLARS (\$980.00), for a small including is enclosed. this amount check entity, and Accordingly, this Communication is being timely filed.

The Notice to File Missing Parts of Application indicates that the oath or declaration is unsigned. Applicants attach hereto a copy of the Notice as **Exhibit A**. In response, applicants submit as **Exhibit B** hereto a signed Declaration and Power of Attorney

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pursuant to 37 C.F.R. §1.53(f). In compliance with 37 C.F.R. \$1.63, the Declaration refers to the application's above-identified serial number and filing date.

The surcharge under 37 C.F.R. §1.16(e) for responding to the Notice to File Missing Parts of Application is SIXTY FIVE DOLLARS (\$65.00) for a small entity. A check for a total amount of \$1,045.00 is enclosed which includes the surcharge for filing the enclosed Declaration and Power of Attorney.

Furthermore, in compliance with the September 7, 2001 Notice, applicants submit a Sequence Listing attached hereto as Exhibit C in compliance with the requirements of \$1.821-1.825. In addition, applicants submit herewith the Sequence Listing on the enclosed Moreover, applicants submit as Exhibit D a computer diskette. Statement In Accordance With 37 C.F.R. §1.821(f) certifying that the computer readable form and paper copy of the Sequence Listing are the same.

The September 7, 2001 Notice also required applicants to submit substitute drawings. The September 7, 2002 Drawing Review stated that the drawing sheets do not have the appropriate margins(s) (see 37 CFR 1.84(g)), and required that each sheet include a top margin of at least 2.5cm. (1 inch), a left side margin of at least 2.5cm. (1 inch), a right side margin of at least 1.5cm. (5/8 inch), and a bottom margin of at least 1.0cm. (3/8 inch).

In response, applicants attach hereto as Exhibit E twenty one (21) sheets of new, corrected formal drawings for Figures 1-14.

 Applicants:

Ulrich Laemmli and Samuel Janssen

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If a telephone interview would be of assistance in advancing prosecution of the subject application, applicant's undersigned attorneys invite the Examiner to telephone the number provided below.

No fee, other than the enclosed check in the amount of \$1,045.00 covering the five-month extension fee (\$980.00) and the surcharge fee (\$65.00), is deemed necessary in connection with the filing of this Communication. If any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202

John P. White

John P. White Reg. No. 28,678 Date

415102

John P. White Registration No. 28,678 Attorney for Applicants

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/903,359

07/11/2001

Ulrich Laemmli

62574-A/JPW/GJG

CONFIRMATION NO. 9566

Date Mailed: 09/07/2001

FORMALITIES LETTER

OC000000006530978

Cooper & Dunham LLP 1185 Avenue of the Americas New York, NY 10036

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NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filling a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- ij The oath or declaration is unsigned.
 - To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
 - The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

Substitute drawings in compliance with 37 CFR 1.84 because:

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- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable

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form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE